

NOTE: This application should be submitted through your Participant

CDS 2 (A)

Companies

TO : The Central Depository Systems (Pvt) Ltd.
04-01, West Block, World Trade Centre,
Echelon Square, Colombo 1.

Authorized
Signature
and
Stamp of
Participant

DECLARATION FORM

We _____
(Name of Corporate body)

incorporated/established under _____
having its registered office at _____

In consideration of the Central Depository Systems (Pvt.) Ltd. (CDS) agreeing to open a Securities Account in our name, hereby declare as follows:

- * 1. The securities to be purchased through the Securities Account to be opened by us in the CDS is for our benefit only.
- * 2. The securities to be purchased through the Securities Account to be opened by us is for the benefit of _____ and _____ in our capacity as Custodian/Trustee/Fund Manager/Unit Trust Manager/foreign Broker/foreign Dealer.
- * 3. We the Custodian/Trustee/Fund Manager/Unit Trust Management Company/foreign Broker/foreign Dealer, opening the Securities Account for the benefit of the respective beneficiaries, maintain all information pertaining to the ultimate beneficiaries of the account and undertake to promptly release such information, pertaining to the beneficiaries to CDS at any time, if required by the CDS.
- 4. The application and the documents attached thereto bear true and correct information and no alteration, modification or falsification was carried out to them to hide or deflect true facts.
- 5. In the event of a variation of any information given in the CDS Form 2, this Declaration and other information submitted by us along with the application to open the CDS Account, we undertake to inform the CDS in writing within fourteen (14) days of such variation.
- 6. The funds to be invested for the purchase of securities through the Securities Account to be opened with the CDS will not be funds derived from any money laundering activity or funds generated through the financing of terrorist or any other illegal activity.
- 7. We have not been banned and/or rejected and /or suspended by any criminal/civil tribunal or administrative authority in Sri Lanka or in any other country in connection with the following offences:
 - Engaging directly or indirectly in any transaction in relation to any property which is derived or realized directly or indirectly, from any unlawful activity or from the proceeds of any unlawful activity as defined by the Financial Transactions Reporting Act No. 6 of 2006;
 - Receiving, possessing, concealing, disposing, of or bringing into Sri Lanka or into any other country, or for investing in Sri Lanka or in any other country, any property which is derived or realized, directly or indirectly, from any unlawful activity or from the proceeds of any unlawful activity referred to above; or
 - Any other offence which has been defined as an offence under the Prevention of Money Laundering Act No.5 of 2006 and any amendment thereto or any similar legislation in any other part of the world.
- 8. We hereby further declare that we are persons of good standing with no record of criminal convictions in relation to the offences stated above, in Sri Lanka or in any other country.
- 9. We or any persons(s) associated with us and/or any entity connected to us (as a partner, shareholder, director) have against us or persons connected and/or associated as aforesaid any convictions/pending criminal proceeding in Sri Lanka or in any other part of the world except the following (give detailed description of any pending litigation);
 - _____
 - _____
 - _____
 - _____
- 10. We declare that our application and other relevant documentation to open a CDS account has not been refused or any business relationship has not been declined previously by any other Participant of the CDS.
- 11. We further declare and agree that, should the CSE/CDS determine any statements made by us herein to the contrary, (or any such matter through publicly available information or otherwise) which would in the opinion of the CSE/CDS be detrimental to the CDS as an institution having to comply with the laws/regulations of Sri Lanka pertaining to transactions of its account holders or parties connected to such account holders, the CDS is hereby authorized to unilaterally terminate all depository and such other services connected to us and recover related costs or other expenses pertaining to this account.

We hereby confirm that the paragraphs "1" to "11" above have been duly read over and understood by us prior to signing this Declaration.

(Place the Company Seal and signature/s of Director/s as required by the Articles of Association)

This _____ day of _____ 20 ____

* Delete whichever is inapplicable.